# Tailoring palaeolimnological diatom-based transfer functions 

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#### Abstract

This paper presents a method designed to build species-tailored diatom-environment models. Using a pruning algorithm of artificial neural networks, powerful species-tailored models constrained to water temperature, water depth, and dissolved organic carbon were developed from a 109-lake training set from northwestern Canada and Alaska. The reasoning behind the approach is that the implementation of a single, gradient-based, organismenvironment relationship should only use species that are comprehensively influenced by the variable of interest. By pruning species according to their relevance to each of the three studied variables, the cross-validated performances of all three models were significantly increased, suggesting that nonrelevant species have corrupting influences and need to be removed. The removal of corrupting species also suggests that palaeolimnological transfer functions based on an appropriate subset of useful species are more independent.


Résumé : Nous présentons une méthode pour construire des modèles diatomées-environnement basés sur les espèces. À l'aide d'un algorithme d'élagage tiré des réseaux neuraux artificiels, nous avons mis au point des modèles basés sur les espèces avec une contrainte pour la température de l'eau, la profondeur et la concentration de carbone organique dissous à partir d'une série expérimentale de données sur 109 lacs du nord-ouest canadien et de l'Alaska. Le raisonnement qui sous-tend la méthode est que l'établissement d'une relation particulière organisme-environnement basée sur un gradient ne devrait utiliser que des espèces qui sont influencées de façon globale par la variable considérée. Par l'élagage des espèces d'après leur pertinence vis-à-vis chacune des trois variables étudiées, les performances des trois modèles déterminées par validation croisée sont significativement améliorées, ce qui indique que les espèces non pertinentes ont une influence nuisible et doivent être retirées. Le retrait des espèces nuisibles indique aussi que les fonctions de transfert paléolimnologiques basées sur un sous-ensemble approprié d'espèces utiles sont plus indépendantes.
[Traduit par la Rédaction]

## Introduction

Transfer functions that quantify the modern relationships between the composition of diatom assemblages and environmental variables for a set of lakes are routinely used in palaeolimnological studies to infer quantitative environmental changes from past diatom assemblage data. Several methods, based on different algorithm types, have been successfully applied to model the complex relationships between taxon assemblages and environmental variables: weighted averaging regression - calibration based approach (ter Braak and van Dam 1989; Birks et al. 1990), weighted averaging partial least-squares regression (ter Braak and Juggins 1993), maximum likelihood based approach (ter Braak and van Dam 1989; ter Braak et al. 1993), full probability based approach (Bayesian modeling) (Ellison 1996; Toivonen et al. 2001; Vasko et al. 2000), and artificial neural networks based approach (Racca et al. 2001; Köster et al. 2004).

While it is clear that the predictive ability of any of these methods depends ultimately on the degree to which the distribution of the biota assemblages is actually determined by environmental characteristics, it is also affected by the sampling characteristics of the modern data set (distributions and ranges of the environmental variables, number of samples, number of taxa, amount of noise, etc.) (Racca and Prairie 2004). Because relationships between the composition of species assemblages and environmental variables are extracted from a restricted set of lakes, the predictive ability of a particular model is necessarily dependent on the choice of lakes included in the training set. In general, modern training sets are designed either to be as encompassing as possible or to focus on a predetermined environmental gradient. Thus, depending on the subsequent use of a model, lakes in a training set are first chosen to cover a large range of the environmental variable of interest but also to cover a small range of other variables. With such a design, it is expected

[^0]that the variation in assemblage data will be attributed principally to the changes in the environmental variable of interest. Also, it is expected that the effects of other variables will have little impact on the variation in the assemblage data. As a result, diatom transfer functions based on such optimal training sets generally exhibit good predictive power (e.g., Fallu and Pienitz 1999), whereas models based on more limnologically diverse lakes, where variation in species assemblages can be influenced by several environmental gradients, exhibit generally lower predictive power (e.g., Philibert and Prairie 2002).

In this study, we suggest that much more powerful models can be developed from a less optimal training set design. Here, we apply a method to build optimal subtraining sets from an existing nonoptimal full training set. In contrast with the aforementioned design where the training set is constructed based on a choice of lakes, the proposed method deals with the selection of taxa according to their relative contribution in a model. We hypothesize that most of the complexity in a training set, which can be attributed either to the effect of the multiple environmental influences on assemblage data in limnologically diverse lakes or to stochastic variability within the data set, could be better constrained if only species whose distribution is comprehensively dependent on the variable being studied are included in a training set.

To test this idea, we developed three diatom-based training sets constrained to (i) water temperature, (ii) water depth, and (iii) dissolved organic carbon (DOC) from an original training set where the lakes spanned a wide range in all of these three gradients resulting from the combination of two diatom-training sets from northwestern Canada and Alaska (Pienitz et al. 1995; Gregory-Eaves et al. 1999). The main objective of the study was to show that the predictive ability of a model can be increased when it is species tailored to a particular variable (i.e., when only the subset of species whose distribution and abundance are comprehensively related to the environmental variable is used).

## Materials and methods

## Study area

The extended modern training set of 109 lakes used in this study results from the combination of a 58-lake training set from the Yukon and the Northwest Territories (Pienitz et al. 1995) and a 51-lake training set from Alaska (GregoryEaves et al. 1999) (Fig. 1). The 58 lakes from the Yukon and the Northwest Territories are located between Whitehorse and Tuktoyaktuk ranging from $60^{\circ} 37^{\prime} \mathrm{N}$ to $69^{\circ} 35^{\prime} \mathrm{N}$ and from $132^{\circ} 04^{\prime} \mathrm{W}$ to $138^{\circ} 22^{\prime} \mathrm{W}$. The locations of the 51 Alaskan lakes range from $60^{\circ} 28^{\prime} \mathrm{N}$ to $69^{\circ} 35^{\prime} \mathrm{N}$ and from $141^{\circ} 38^{\prime} \mathrm{W}$ to $150^{\circ} 49^{\prime} \mathrm{W}$. Details of the limnological, physiographic, and geological features for each training set are reported in Pienitz et al. $(1995,1997)$ and Gregory-Eaves et al. (1999). The lakes of each training set were chosen to span a broad north-south climatic gradient, but by combining the two sets, a fairly large east-west gradient is also captured. Combining the two data sets enlarges the ranges of several limnologically and nonlimnologically associated variables. We summarize the ranges covered by lake-related variables for the 109 training set sites in Table 1.

## Sample collection

Sample collection in the field and measurements of related environmental variables (water temperature, water depth, pH , conductivity, and water transparency) were done in the summers of 1990 and 1996 for the Yukon and Alaskan training sets, respectively. Laboratory analyses of nutrients, major ions, and trace metals were performed by the National Water Research Institute (Burlington, Ontario) following standard methods. Full details of field sampling methods, water chemistry, and other analyses are provided in Pienitz et al. (1995, 1997) and Gregory-Eaves et al. (1999, 2000).

Diatom slides were prepared by treating surface sediment samples of each site using standard methods (Pienitz et al. 1995). Identification and enumeration of diatom valves were done along random transects under oil immersion using light microscopy. For each slide, between 300 and 500 diatom valves were identified to the lowest taxonomic level using primarily the following taxonomic sources: Krammer and Lange-Bertalot (1986-1991), Foged (1981), Patrick and Reimer (1966, 1975), Cumming et al. (1995), Camburn et al. (1984-1986), and Fallu et al. (2000). The harmonization of species identification between the two training sets was made on multiple occasions during several diatom taxonomic workshops (e.g., Arctic and Antarctic Diatom Workshop (5th), 1995, unpublished report, Queen's University, Kingston, Ontario). A total of 545 diatom species were identified in the 109 surface samples but only 259 , with a relative abundance of $1 \%$ in at least three samples, were used for the analyses. Details regarding these species can be found in Appendix A.

## Numerical analyses

## Artificial neural networks transfer functions

Although the ecological response curve of all species in regard to one environmental gradient is often assumed to be unimodal, a mixture of different response curves is often observed in palaeolimnological training data sets (e.g., unimodal, skewed unimodal, sigmoidal increasing, or sigmoidal decreasing) (Birks 1998). We used artificial neural networks to implement the transfer functions because artificial neural networks are capable of accommodating the full range of species response curves (Leshno et al. 1993). Socalled multilayer perceptrons, one type of network architecture trained with a back-propagation algorithm (Rumelhart et al. 1986), have been successfully applied in quantitative palaeolimnology (Racca et al. 2001; Philibert et al. 2003; Köster et al. 2004) and palaeoceanography (Malmgren and Nordlund 1997; Peyron and De Vernal 2001). Here, the same network architecture is used. In this type of network, neurons are arranged in a distinct layered topology: one input layer (representing independent variables (species)), one hidden layer, and one output layer (representing dependent variables (environmental variables)). All neurons from one layer are connected to all neurons in the adjacent layers and all of these connections have a weight that represents the parameters of the network. By back-propagation (iterative process), the weights of the connections are adjusted by feeding a set of input-output pattern pairs many times. As a result of these weight adjustments, internal hidden neurons, which are not part of the input or output, come to represent important

Fig. 1. Map of study sites showing the position of the 51 Alaskan and 58 Yukon calibration lakes. Inset shows the location in North America.

features of the task domain and the relationship between input and output is captured by the interactions of these units. This relationship (function) can then be used to predict new output (i.e., values of environmental variables) from new input data (i.e., species assemblages). Background information on neural networks is available in various introductory textbooks such as Bishop (1995) and more details of this methodology as applied to palaeolimnology can be found in Racca et al. (2001).

## Building constrained training set based transfer functions

To build optimal subtraining sets, we used the skeletonization pruning algorithm of artificial neural networks (Moser and Smolensky 1989). Pruning algorithms (e.g., Reed 1993) are comparable with backward elimination in regression models. Backward elimination starts with all independent variables and sequentially removes the least relevant one and stops if the model performance drops below a given threshold by the removal of any of the remaining independent variables. The skeletonization algorithm was already applied to estimate the functionality of individual species in the Surface Water Acidification Program training data set (Birks et al. 1990) with the objective of removing nonrelevant and redundant species from a pH model (Racca et al. 2003). Using skeletonization, the relevance of one species (i.e., its relative
contribution) is determined as an estimation of the change in the model error (i.e., root mean square error, RMSE) when this species is omitted: the more the model error increases, the more a species is relevant and vice versa. The estimated relevance can, therefore, be viewed as a direct measure of the numerical importance of each species in the model and can be used to remove species according to their relative contribution.

Here, we used the skeletonization method to prune diatoms according to their contribution to water temperature, water depth, and DOC. Pruning routines were applied until optimal constrained sets of species were reached. This involves consecutive and alternative steps of training-pruning simulation, as skeletonization pruning is a dynamic procedure. Details of the skeletonization-pruning algorithm used in this study can be found in Racca et al. (2003).

## Pruning procedures and model validation

The same pruning parameter setup was applied to each simulation: from the initial full data set, noncontributing species were removed (one by one) according to their numerical importance until the removal of one species increased the model error over a fixed criterion. For each train-ing-pruning simulation, species removal begins when the model has converged (i.e., when the error remains quasi-
Table 1. Summary of the ranges of environmental variables for both training sets and for the combined training set.

|  | Yukon training set |  |  |  |  | Alaska training set |  |  |  |  | Combined training set |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Min. | Max. | Mean | Median | SD | Min. | Max. | Mean | Median | SD | Min. | Max. | Mean | Median | SD |
| ALT (m) | 15.0 | 1387.0 | 361.6 | 122.0 | 393.0 | 35.0 | 1028.7 | 429.4 | 320.0 | 322.1 | 15.0 | 1387.0 | 393.4 | 251.5 | 361.5 |
| DEPTH (m) | 1.0 | 49.0 | 7.4 | 4.0 | 8.4 | 0.8 | 54.0 | 10.5 | 5.0 | 12.1 | 0.8 | 54.0 | 8.8 | 5.0 | 10.3 |
| pH (unity) | 5.9 | 9.3 | 7.9 | 8.1 | 0.6 | 7.0 | 9.3 | 7.9 | 7.9 | 0.5 | 5.9 | 9.3 | 7.9 | 8.0 | 0.6 |
| TEMP ( ${ }^{\circ} \mathrm{C}$ ) | 12.0 | 23.0 | 18.2 | 18.0 | 2.3 | 9.8 | 22.9 | 15.5 | 16.1 | 3.0 | 9.8 | 23.0 | 16.9 | 17.0 | 2.9 |
| COND ( $\mu \mathrm{S} \cdot \mathrm{cm}^{-1}$ ) | 24.0 | 1500.0 | 160.7 | 112.0 | 212.4 | 6.0 | 434.0 | 100.7 | 82.0 | 89.0 | 6.0 | 1500.0 | 132.7 | 94.0 | 168.4 |
| TPU ( $\mu \mathrm{g} \cdot \mathrm{L}^{-1}$ ) | 3.0 | 55.1 | 15.6 | 12.3 | 11.8 | 1.3 | 475.8 | 24.9 | 11.2 | 66.3 | 1.3 | 475.8 | 20.0 | 11.4 | 46.1 |
| DOC ( $\mathrm{mg} \cdot \mathrm{L}^{-1}$ ) | 3.1 | 35.1 | 12.3 | 10.8 | 6.5 | 2.7 | 257.0 | 66.5 | 44.4 | 68.5 | 2.7 | 257.0 | 37.7 | 14.2 | 54.1 |
| DIC ( $\mathrm{mg} \cdot \mathrm{L}^{-1}$ ) | 0.3 | 134.2 | 17.9 | 13.1 | 22.1 | 1.1 | 59.5 | 13.6 | 12.0 | 11.3 | 0.3 | 134.2 | 15.9 | 12.1 | 17.9 |
| TKN ( $\mu \mathrm{g} \cdot \mathrm{L}^{-1}$ ) | 72.0 | 1293.0 | 440.8 | 368.0 | 247.5 | 50.0 | 2400.0 | 511.4 | 346.0 | 479.0 | 50.0 | 2400.0 | 473.8 | 352.0 | 373.9 |
| $\mathrm{SiO}_{2}\left(\mathrm{mg} \cdot \mathrm{L}^{-1}\right)$ | 0.1 | 12.5 | 2.2 | 1.0 | 2.9 | 0.1 | 10.8 | 2.2 | 1.2 | 2.8 | 0.1 | 12.5 | 2.2 | 1.0 | 2.8 |
| $\mathrm{SO}_{4}\left(\mathrm{mg} \cdot \mathrm{L}^{-1}\right)$ | 0.5 | 1242.0 | 41.3 | 8.9 | 165.8 | 0.2 | 43.1 | 7.3 | 5.1 | 9.2 | 0.2 | 1242.0 | 25.4 | 6.0 | 121.8 |
| $\mathrm{Ca}\left(\mathrm{mg} \cdot \mathrm{L}^{-1}\right)$ | 3.5 | 50.3 | 19.6 | 18.6 | 12.0 | 0.3 | 50.0 | 14.4 | 13.2 | 11.9 | 0.3 | 50.3 | 17.2 | 15.4 | 12.2 |
| $\mathrm{Na}\left(\mathrm{mg} \cdot \mathrm{L}^{-1}\right)$ | 0.2 | 187.0 | 8.9 | 3.9 | 25.0 | 0.2 | 11.6 | 1.9 | 1.0 | 2.3 | 0.2 | 187.0 | 5.6 | 2.1 | 18.6 |
| $\mathrm{K}\left(\mathrm{mg} \cdot \mathrm{L}^{-1}\right)$ | 0.1 | 29.9 | 1.9 | 1.2 | 4.0 | 0.2 | 15.6 | 1.1 | 0.6 | 2.2 | 0.1 | 29.9 | 1.5 | 1.0 | 3.3 |
| $\mathrm{Cl}\left(\mathrm{mg} \cdot \mathrm{L}^{-1}\right)$ | 0.2 | 75.2 | 7.3 | 2.0 | 13.4 | 0.1 | 6.0 | 1.4 | 1.1 | 1.2 | 0.1 | 75.2 | 4.5 | 1.5 | 10.2 |
| $\mathrm{Fe}\left(\mu \mathrm{g} \cdot \mathrm{L}^{-1}\right)$ | 5.9 | 1660.0 | 213.3 | 86.6 | 318.4 | 10.0 | 1920.0 | 180.2 | 57.0 | 370.0 | 5.9 | 1920.0 | 197.8 | 72.0 | 342.3 |
| $\mathrm{Mn}\left(\mu \mathrm{g} \cdot \mathrm{L}^{-1}\right)$ | 2.0 | 160.0 | 23.7 | 15.0 | 29.7 | 1.0 | 58.7 | 12.7 | 8.8 | 12.4 | 1.0 | 160.0 | 18.5 | 12.0 | 23.8 |

constant even after iterations are added) and species removal is stopped when the error increases by $10 \%$ over the error at the starting point of pruning. This procedure was repeated as long as the training-pruning simulations were possible (i.e., a new training-pruning simulation was applied to reduced data sets until no retraining iteration after a species deletion could lower the error below the threshold necessary to end pruning). We kept this threshold quite low ( $10 \%$ over the RMSE at the starting point) so as to ensure that the removal steps were small (i.e., where few species are removed at each step instead of only one or two training-pruning simulations where many species are removed). Moreover, the number of iterations for the retraining phase of each training-pruning simulation was kept low (20) for the same reason (i.e., the longer is the retraining phase, the better the model error converges and more species are removed at each training-pruning simulation). Although several pruning parameter configurations could be used, our experience is that the order of species removal is not dramatically changed. Ultimately, only the number of pruned species can be modified by the pruning parameter configuration. The pruning procedures were performed using Stuttgart Neural Network Simulator v4.2 (Zell et al. 1996).

However, because skeletonization pruning of species is based on the change of the apparent error function (i.e., apparent RMSE), a validation of the pruned model was made using a standard back-propagation model with cross-validation based on leave-one-out jackknifing. It is this cross-validated error term that we ultimately seek to improve by tailoring the transfer function (i.e., by pruning out the species judged irrelevant for the particular variable modeled). For this purpose, the same methodology as proposed in Racca et al. (2001, 2003) was applied using a cross-validation routine (CROSVAL) (R. Racca, Département des Sciences, Université de Nouvelle Calédonie, BP 4477, 98847 Noumea CEDEX, Nouvelle-Calédonie, unpublished program) of YANNS (Boné et al. 1998).

## Results

## Data set characteristics

Because of the strong latitudinal gradient covered by both training sets, several distinctive changes in diatom assemblage composition were apparent between the boreal forest sites in the south and the arctic tundra sites in the north (Pienitz et al. 1995; Gregory-Eaves et al. 1999). Here, to explore the similarities or dissimilarities in the diatom assemblages between the two training sets, a detrended correspondence analysis grouping all (259) species was carried out. The detrended correspondence analysis shows a clear distinction between species assemblages from the 58 lakes in the Yukon data set and the 51 lakes in the Alaskan data set (Fig. 2). The percentage of cumulative variance captured by the first two axes is $11.4 \%$ of the species data. The first two axes of the detrended correspondence analysis are significant according to Monte Carlo permutation tests (with 199 unrestricted permutations, $p \leq 0.05$ ).

A detrended canonical correspondence analysis was carried out (Fig. 3) to test if the dissimilarity between the diatom assemblages can be explained by environmental differences between the two regions. Only if the variables that

Fig. 2. Detrended correspondence analysis (DCA) plot showing diatom assemblage dissimilarities between Alaskan and Yukon lakes. Solid circles represent lakes from the Yukon and Northwest Territories, and open circles represent Alaskan lakes.


Fig. 3. Detrended canonical correspondence analysis (DCCA) plot of the 109-lake data set. Solid circles represent lakes from the Yukon and Northwest Territories, and open circles represent Alaskan lakes. TEMP, water temperature; DEPTH, water depth; TPU, total phosphorus unfiltered; TKN: total Kjeldahl nitrogen; COND, conductivity; DOC, dissolved organic carbon; DIC, dissolved inorganic carbon.

cover different ranges in the two regions explain a great proportion of species variation we can ensure that assemblage dissimilarity is due to these environmental variables. The percentage of cumulative variance in the speciesenvironment relationship captured by the first two detrended canonical correspondence analysis axes is $35.45 \%$, with eigenvalues of 0.284 for axis 1 and 0.157 for axis 2 . All canonical axes are significant according to Monte Carlo permutation tests (with 199 unrestricted permutations, $p=$ 0.018). In the detrended canonical correspondence analysis plot, ordination of the lakes on the first axis shows a separa-
tion between Yukon and Alaskan lakes. From the relative position of the lakes in the environmental space, it is clear that species assemblages in Alaska are generally associated with more DOC-rich and more high-altitude conditions than species assemblages in the Yukon and Northwest Territories.

## Initial training set based transfer functions

From the initial training set of 259 species and 109 sites, diatom - artificial neural networks based models for water temperature, water depth, and DOC were built. We present plots of observed values versus predicted values (Figs. $4 a-$ $4 c$ ). The predictive power of each model is low as expressed here as the relative measure of relationship strength ( $r^{2}$ jackknife) between the predicted and observed values. The absolute measures of uncertainty associated with the predictions (i.e., the RMSE of prediction (RMSEP)) of each model are approximately twice those generally obtained in other studies of diatom-based water temperature, water depth, and DOC models (e.g., Pienitz et al. 1995; Fallu and Pienitz 1999; Gregory-Eaves et al. 1999). Moreover, the relationships between observed and predicted values are not linear for either the water temperature model or the DOC model, suggesting that these models are strongly biased.

## Constrained training set based transfer functions

More optimal training sets were obtained by pruning the initial full species data set (259) according to the contribution of the diatom species to the performance of either the water temperature, water depth, or DOC model. By using the skeletonization algorithm until the "apparent" performances (RMSE) of the models increased over $10 \%$ from the minimum, the species-tailoring procedure reduced the initial data set by $65.4 \%$ ( 260 to 90 species) for the water temperature based model, $59.6 \%$ (259 to 105) for the water depth based model, and $49.2 \%$ (259 to 132) for the DOC-based model. Species composition of the three subtraining sets is shown in Appendix A. Only 24 species ( $9.2 \%$ of the initial full training set) are common to all three tailored sets. Forty-seven species $(18.1 \%)$ are common to the water temperature and water depth training sets, 50 species (19.2\%) to the water temperature and DOC sets, and 55 species $(21.1 \%)$ to the water depth and DOC models. That the pruning algorithm selects different groups of species indicates that the relative importance of several species to the apparent statistics of a model is critically dependent on the environmental variable considered. Nevertheless, the question remains as to what extent each group of species can be used to improve the predictions of the environmental variable for which they were selected. In other words, how does the exclusion of species improve the cross-validated predictive performances of the models? To answer this question, we built cross-validated (leave-one-out jackknifing) models for each of the three environmental variables using their corresponding subtraining set (plots of observed versus predicted values are presented in Figs. $4 d-4 f$ ). The predictive power of each pruned training set based model is improved when compared with the corresponding initial training set based models (Figs. 4d-4f). The strength ( $r^{2}$ jackknife) of the relationship between the predicted and observed values increased from 0.34 to 0.68 for water temperature, from 0.60 to 0.77 for water depth, and from 0.33 to 0.67 for DOC. This leads to a decrease in
the absolute measure of uncertainty associated with the predictions of each model. The improvement in predictive power of each constrained model is statistically significant ( $F=1.92,1.7$, and $1.79 ; p=0.0074$ ) for temperature, depth, and DOC, respectively.

## Discussion

## Species selection and model improvement

The proposed environmentally dependent pruning method used here allowed us to build tailored training sets for dia-tom-based water temperature, water depth, and DOC models. The constrained training sets were built separately from the initial training set according to the relative contribution of each species to each of the three variables studied. By removing noncontributing species, the predictive power of the models increased significantly in all three cases, suggesting that pruning is an efficient method for improving model performance. Indirectly, these results also imply that the species that were removed by the pruning method were in fact corrupting the models based on all species. Moreover, the species removal also improved the prediction characteristics of the models. For example, when all species were included in the water temperature model, the predictions never exceeded $20^{\circ} \mathrm{C}$, while the predictions were very close to the observations (up to $24^{\circ} \mathrm{C}$ ) when only the species that seemed to be useful to model water temperature were included. Clearly, the removal of noncontributing species is beneficial, both to improve prediction power and to reduce model bias.

Our results clearly demonstrate that the assumption that all species are ecologically relevant and therefore contribute to the accuracy of the prediction is questionable. Nevertheless, the question of how the noncontributing species affect the model remains difficult: are noncontributing species simply a source of random noise or is there a more complex coupling between the species and their environment? By analogy with simple modeling techniques such as multiple regression, the inclusion of species that carry no information about their environment should not negatively affect performance of a model: the modeling procedure should normally simply ignore them by assigning them very little weight. However, because species removal actually improved model performance, our results suggest that these species had a genuinely corrupting influence. In our view, this is most likely conceivable for species that are multiply determined (i.e., for species that are strongly influenced by more than one environmental gradient). Unless these influencing gradients are always correlated to the same extent and in the same way, no modeling technique can reasonably cope with possibly conflicting environmental signals.

While these multiply determined species are probably an important source of model corruption, there may also be other ways by which variations in the abundance of certain species actually confound a model. For example, there may be several alternative stable species assemblages for a given lake driven by interspecific relationships among the diatoms. As such, these types of relationships are never considered in model building, although they are likely to occur in nature. However, we know of no method that is able to assess the relative importance of these confounding influences. To this extent, the question of how noncontributing species affect

Fig. 4. Plots of observed against jackknife-predicted values for the water temperature model, water depth model, and DOC model ( $a-c$ ) when all species are used and $(d-f)$ when pruned training sets are used. The jackknife-predicted values are based on a validation set (leave-one-out). Fitted lines are based on model I regression. Solid circles represent lakes from the Yukon and Northwest Territories, and open circles represent Alaskan lakes.

the predictive capacities of a model remains open. Until such a question can be addressed, we argue that the best palaeolimnological models will be those tailored only with the appropriate species (i.e., those that are useful in a predictive sense). In this context, pruned models for water temperature, water depth, and DOC do not necessarily contain species that are exclusively influenced by only one of these variables. Indeed, a species that is multiply determined can still be useful if at least some of the information that it carries can, in some sense, be generalized. This appears to be the case for all species that are common to all tailored training sets $(9.2 \%$ of the species in our case). Conversely, the species that are multiply influenced but for which no generalization can be achieved will necessarily be eliminated by the pruning algorithm.

## Toward independent transfer functions

That noncontributing species can corrupt the empirical predictive power of the models suggests that any changes in the distribution and abundance of these species in the past could affect their reconstruction capabilities. This is an important lacunae of unpruned models. Because the distribution and abundance of these noncontributing and possibly corrupting species may be strongly influenced by several variables (physical, chemical, and (or) biological) characteristic of the lake system, changes in any of these environmental parameters will alter their abundance. Thus, we could wrongfully infer changes in the variable of interest even if it remained unchanged. Because of this, we suggest that the removal of noncontributing taxa can potentially reduce the effects of other environmental influences. We contend that tailored models are probably less sensitive than others and more independent because they are specifically designed to quantify the changes of one environmental variable using only species that respond to this variable in a way that can be generalized.

Until now, the effects of multiple influence and interaction of environmental gradients (correlated or not) on species assemblages were only partially addressed in the design phase of palaeolimnological studies by selecting lakes to be included in the training set (S. Hausmann and F. Kienast, Paleolimnology-Paleoecology Laboratory, Centre d'Études Nordiques, Département de Géographie, Université Laval, Québec, QC G1K 7P4, Canada, unpublished data). However, while a preselection is often possible in certain regions, mainly for those where information on lakes is easy to obtain before sampling, sampling is limited and logistically difficult and expensive, for example, in remote northern regions. In these regions, controlling the number of influencing variables by reducing the number of sites in an existing training set is one alternative way to constrain the multiple environmental influences on species. In this case, a subtraining set of selected sites is defined in which the environmental variable of interest has the largest range possible but in which the ranges of secondary variables are also kept narrow (S. Hausmann and F. Kienast, unpublished data). However, this a posteriori selection could be problematic for at least two reasons. First, the number of sites, often an important parameter in the success of the modeling approach, could end up being too small if many secondary variables are detected in the original training set. Second, and more
importantly, a model based on such a "site-selected" training set where few situations of interaction and (or) multiple influences are structuring the species data would be incapable of implementing these situations. As a result, such models will perform poorly when applied to down-core species data if interactions and (or) multiple influences occurred in the past. This second problem is also relevant to models based on modern training sets where an a priori selection of sites is made to avoid the effects of secondary gradients.

Ideally, the implementation of every organismenvironment relationship should be based on modern training sets where situations of multiple influences and (or) interactions structure species assemblages: only a model that has the possibility of "learning" from multivariate patterns will have the potential to give realistic inferences when applied to multiply induced past assemblage data. Thus, the more examples of similar situations of multiple influences or interactions occur in a training set, the more a model will be capable of implementing these situations. However, if a model is not able to learn from some situations because too few examples of these occur in a given training set, then these situations need to be avoided. Therefore, we suggest that more effort should be made toward the development of efficient calibration models in which only nongeneralized situations of multiple influences and interactions are avoided (i.e., like our pruning algorithm do) rather than toward the development of calibration models in which all situations of multiple influence or interaction (i.e., generalizable and not) are avoided (i.e., like in methods based on a priori or a posteriori selection of sites). In other words, more attention should be given to build efficient univariate-based models from a multivariate organism-environment relationships training set rather than attempting to build univariate models from a pseudo-univariate organism-environment relationships training set. To be efficient, an appropriate univariate model (based on multivariate relationships) should be capable of reaching the two following goals. First, the model should have the ability to implement only the generalizable relationships between assemblage data and each structuring environmental variable (i.e., where species that suffer from nongeneralizable multivariate interaction are excluded). Second, it should have the capacity to make independent predictions. The method proposed in this study is designed to reach these two goals: the selection of species is made to create an optimal model for a given variable by removing species whose distributions are independent of the variable of interest. In addition, by making an environmentally dependent selection of species to be included in a particular training set, a transfer function based on these species will be quasi-independent (a certain dependence will occur only in cases where species are common to several subtraining sets).

We believe that these observations to be important, as independent transfer functions are required in situations where any reconstructed environmental variable may be confounded by the influence of other factors. For example, few researchers have attempted to model water depth because changes in nutrient concentration and (or) light quality may or may not covary with lake level fluctuations (Wolin and Duthie 1999). Similarly, reconstruction of lake depth is problematic because changes in lake level could be the con-
sequence of changes in temperature and (or) the result of changes in relative humidity. The application of our three quasi-independent diatom transfer functions for reconstruction of past changes in lake depth, lake water temperature, and DOC concentration could provide substantial insight into the magnitude of past climatic and environmental changes in northwestern Canada and Alaska.

In conclusion, in this study, we have applied a method that is designed to build tailored palaeolimnological models in situations were several important environmental variables structure species data in a training set. In contrast with the idea of a priori or a posteriori selection of lakes to reduce secondary gradients, the proposed method deals with the selection of a subset of numerically useful species. The reasoning behind the approach is that the implementation of a single gradient-based organism-environment relationship should use only species that are comprehensively influenced by the variable of interest. Such an approach based on taxon selection appears to be attractive for two reasons. First, the selection of species is made to create an optimal model for a given variable by removing taxa with distributions that are independent of the variable of interest. The resulting tailored training set can then be used to develop more powerful models. Second, several quasi-independent models of speciesenvironment relationships could be developed from the same original training set because each model will be based on different subsets of relevant species. Once validated using other data sets, this method could prove a very useful tool for developing several tailored transfer functions from the same modern training set and (or) from training sets where several environmental variables are important in structuring species assemblage data.

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## Appendix A

Table A1. Selected diatom species for the water temperature (TEMP), water depth (DEPTH), and dissolved organic crbon (DOC) models.

| Species | Occurrence | TEMP | DEPTH | DOC |
| :--- | :---: | :--- | :--- | :--- |
| Fragilaria pinnata | 99 | Selected | Selected | Selected |
| Achnanthes minutissima (tribe) | 105 | Selected | Selected | Selected |
| Fragilaria brevistriata | 81 |  | Selected | Selected |
| Cyclotella stelligera | 73 | Selected |  | Selected |
| Fragilaria construens var. venter | 62 | Selected | Selected | Selected |
| Fragilaria pinnata (coarse form) | 51 | Selected | Selected |  |
| Navicula minima | 70 |  |  |  |
| Navicula cryptotenella | 71 | Selected | Selected | Selected |
| Navicula seminulum | 61 | Selected | Selected |  |
| Nitzschia fonticola | 62 | Selected | Selected | Selected |
| Fragilaria brevistriata var. papillosalinflata | 66 | Selected |  | Selected |
| Navicula pupula | 72 |  | Selected |  |
| Amphora pediculus | 52 |  | Selected |  |
| Achnanthes pusilla | 55 | Selected |  | Selected |
| Cymbella microcephala | 52 | Selected | Selected | Selected |
| Cyclotella pseudostelligera | 34 |  |  |  |
| Fragilaria construens | 39 | Selected |  | Selected |
| Brachysira vitreal Anomoeoneis vitrea | 53 |  | Selected |  |
| Fragilaria pinnata var. intercedens | 41 | Selected | Selected | Selected |
| Asterionella formosa | 33 | Selected |  |  |
| Fragilaria construens var. pumila | 28 | Selected |  | Selected |
| Navicula vitiosa | 42 | Selected | Selected | Selected |
| Fragilaria capucina var. gracilis | 36 | Selected | Selected | Selected |
| Achnanthes subatomoides | 48 |  | Selected | Selected |

Table A1 (continued).

| Species | Occurrence | TEMP | DEPTH | DOC |
| :--- | :---: | :--- | :--- | :--- |
| Fragilaria pseudoconstruens | 32 | Selected | Selected | Selected |
| Cyclotella tripartita | 20 | Selected | Selected | Selected |
| Achnanthes conspicua | 29 | Selected | Selected |  |
| Cymbella silesiaca | 53 | Selected | Selected | Selected | Selected 1 Selected

Table A1 (continued).

| Species | Occurrence | TEMP | DEPTH | DOC |
| :---: | :---: | :---: | :---: | :---: |
| Achnanthes curtissima | 15 |  | Selected |  |
| Achnanthes impexiformis/impexa | 14 |  |  | Selected |
| Achnanthes laterostrata | 18 | Selected |  |  |
| Achnanthes marginulata | 14 | Selected | Selected | Selected |
| Gyrosigma spenceri | 10 |  |  |  |
| Amphipleura kriegeriana | 16 |  |  | Selected |
| Navicula disjuncta fo. short ( $<15 \mu \mathrm{~m}$ ) | 9 |  |  | Selected |
| Navicula elginensis | 10 |  | Selected | Selected |
| Navicula indifferens | 7 |  |  | Selected |
| Navicula mediocris | 11 |  |  |  |
| Navicula rhynchocephala | 18 |  |  | Selected |
| Gomphonema gracile | 12 |  |  |  |
| Nitzschia graciliformis | 16 | Selected |  | Selected |
| Nitzschia gracilis | 20 | Selected | Selected |  |
| Cyclotella atomus | 6 |  | Selected |  |
| Cyclotella comensis fo. AK 1 | 10 |  | Selected |  |
| Stephanodiscus cf. medius | 10 |  |  | Selected |
| Stephanodiscus hantzschii | 6 |  | Selected | Selected |
| Fragilaria capucina var. rumpens | 8 |  |  |  |
| Fragilaria crotonensis | 5 |  | Selected |  |
| Fragilaria pinnata var. lancettula | 8 |  | Selected | Selected |
| Fragilaria robustalF. pseudoconstruens var. bigibba | 13 | Selected |  |  |
| Fragilaria vaucheriae | 37 | Selected | Selected | Selected |
| Eunotia intermedialE. vanheurckii | 8 | Selected |  |  |
| Achnanthes chlidanos | 15 |  |  |  |
| Achnanthes gracillima | 6 | Selected | Selected | Selected |
| Achnanthes levanderi | 17 | Selected |  | Selected |
| Diploneis oblongella | 13 |  |  |  |
| Neidium ampliatum | 19 |  |  | Selected |
| Navicula minuscula | 16 |  | Selected |  |
| Navicula oblonga | 5 |  |  |  |
| Navicula pseudoscutiformis | 37 |  |  |  |
| Cymbella hebridica | 10 | Selected | Selected |  |
| Cymbella incerta | 13 |  |  | Selected |
| Cymbella perpusilla | 8 |  |  | Selected |
| Amphora thumensis | 8 |  |  | Selected |
| Gomphonema minutum | 4 | Selected | Selected |  |
| Nitzschia amphibioides | 10 |  | Selected | Selected |
| Rhoicosphenia abbreviata | 7 |  | Selected | Selected |
| Aulacoseira ambigua | 9 |  |  |  |
| Cyclotella rossii fo. AK 1 (tripartita) | 8 |  |  |  |
| Stephanodiscus parvus | 4 |  | Selected | Selected |
| Tabellaria flocculosa (strain III) | 10 |  | Selected | Selected |
| Fragilaria construens var. binodis | 10 |  |  |  |
| Eunotia bilunaris | 17 |  |  |  |
| Achnanthes clevei | 10 |  |  |  |
| Achnanthes microcephala | 9 | Selected |  | Selected |
| Achnanthes rosenstockii | 5 |  | Selected |  |
| Achnanthes scotica | 11 | Selected |  | Selected |
| Achnanthes ventralis | 17 | Selected | Selected |  |
| Frustulia rhomboides var. crassinervia | 7 |  | Selected |  |
| Diploneis marginestriata | 8 |  |  | Selected |
| Stauroneis smithii var. minima | 4 |  |  | Selected |
| Brachysira brebissonii/Anomoeoneis brachysira | 9 | Selected | Selected |  |
| Navicula absoluta fo. 1 | 12 |  |  | Selected |

Navicula cocconeiformis 23

Selected

Selected

Selected

Selected

Table A1 (continued).

| Species | Occurrence | TEMP | DEPTH | DOC |
| :---: | :---: | :---: | :---: | :---: |
| Navicula halophila | 8 |  |  | Selected |
| Navicula jaernefeltii | 18 |  | Selected | Selected |
| Navicula modica | 10 | Selected |  | Selected |
| Navicula cf. oppugnata | 3 |  |  | Selected |
| Navicula vitabunda | 20 |  |  | Selected |
| Caloneis silicula | 19 | Selected |  | Selected |
| Pinnularia microstauron | 19 | Selected | Selected |  |
| Cymbella naviculiformis | 12 |  |  |  |
| Amphora fogediana | 15 |  | Selected |  |
| Nitzschia dissipata | 8 |  |  |  |
| Nitzschia radicula | 7 |  |  | Selected |
| Nitzschia recta | 18 | Selected |  | Selected |
| Epithemia adnata | 6 |  | Selected |  |
| cf. Achnanthes ricula | 8 |  |  |  |
| Aulacoseira italica | 3 |  |  | Selected |
| Aulacoseira valida | 5 |  |  |  |
| Stephanodiscus minutulus | 3 |  | Selected | Selected |
| Tabellaria flocculosa (strain I) | 19 | Selected | Selected | Selected |
| Fragilaria cyclopum/Hannaea arcus | 12 |  |  |  |
| Fragilaria ulna/S. ulna | 11 |  | Selected | Selected |
| Fragilaria delicatissima | 7 |  |  | Selected |
| Eunotia praerupta | 13 |  |  |  |
| Eunotia faba | 6 |  |  |  |
| Eunotia rhynchocephala | 6 | Selected |  | Selected |
| Achnanthes carissima | 8 |  |  |  |
| Achnanthes exigua var. heterovalva | 4 |  |  | Selected |
| Achnanthes flexella var. alpestris | 11 |  |  | Selected |
| Achnanthes oestrupii | 11 |  |  |  |
| Achnanthes saccula | 9 |  |  |  |
| Diploneis elliptica | 11 |  | Selected |  |
| Stauroneis kriegerii | 5 | Selected |  |  |
| Navicula arvensis | 6 |  | Selected | Selected |
| Navicula jaagii | 4 | Selected |  | Selected |
| Navicula lenzii | 5 |  | Selected |  |
| Navicula leptostriata | 7 |  | Selected |  |
| Navicula pseudanglica | 4 | Selected |  |  |
| Navicula pseudoventralis | 7 | Selected |  |  |
| Navicula seminuloides | 4 |  |  |  |
| Navicula subhamulata | 4 |  |  |  |
| Navicula subrotundata | 11 |  | Selected | Selected |
| Navicula trivialis | 8 |  | Selected | Selected |
| Caloneis tenuis | 3 |  |  | Selected |
| Cymbella amphicephala | 14 | Selected |  | Selected |
| Cymbella cf. cesatii | 7 |  |  |  |
| Gomphonema angustatum | 7 |  | Selected | Selected |
| Gomphonema pumilum | 6 |  |  | Selected |
| Nitzschia liebtruthii | 5 |  | Selected |  |
| Nitzschia pura | 17 |  |  |  |
| Nitzschia valdestriata | 8 |  |  | Selected |
| Simonsenia delognei | 5 |  | Selected | Selected |
| Denticula tenuis | 3 |  |  |  |
| Stenopterobia curvula | 3 |  |  | Selected |
| cf. Nitzschia bacillum | 5 |  | Selected |  |
| Tabellaria fenestrata | 7 | Selected | Selected |  |
| Diatoma mesodon | 4 |  |  |  |
| Fragilaria capucina var. mesolepta | 7 |  | Selected | Selected |
| Fragilaria leptostauron | 6 | Selected | Selected | Selected |

Table A1 (continued).

| Species | Occurrence | TEMP | DEPTH | DOC |
| :---: | :---: | :---: | :---: | :---: |
| Fragilaria parasitica | 27 | Selected | Selected |  |
| Fragilaria neoproducta | 4 | Selected |  | Selected |
| Eunotia flexuosa | 5 |  |  | Selected |
| Eunotia monodon | 4 |  |  | Selected |
| Eunotia paludosa | 3 |  |  |  |
| Eunotia circumborealis | 4 |  |  |  |
| Cocconeis cf. diminuta | 3 |  | Selected | Selected |
| Cocconeis neothumensis | 7 |  |  |  |
| Cocconeis placentula var. lineata | 6 |  |  |  |
| Achnanthes helvetica | 3 |  | Selected | Selected |
| Achnanthes didyma | 14 |  | Selected | Selected |
| Achnanthes flexella | 6 |  | Selected | Selected |
| Achnanthes lacus-vulcani | 8 |  |  | Selected |
| Achnanthes lineariz | 13 |  |  |  |
| Achnanthes petersenii | 16 |  | Selected | Selected |
| Achnanthes peragalli | 6 |  | Selected |  |
| Achnanthes ziegleri | 4 |  |  | Selected |
| Gyrosigma acuminatum | 3 |  |  | Selected |
| Amphipleura pellucida | 8 |  | Selected | Selected |
| Frustulia rhomboides | 3 |  |  | Selected |
| Frustulia rhomboides var. saxonica | 6 |  | Selected |  |
| Diploneis parmalsubovalis | 11 |  |  |  |
| Stauroneis producta | 3 |  |  |  |
| Stauroneis smithii | 12 |  |  |  |
| Brachysira zellensis/Anomoeoneis brachysira var. zellensis | 5 |  |  |  |
| Brachysira minor | 5 | Selected | Selected |  |
| Navicula bacillum | 3 |  |  |  |
| Navicula difficillimalarvensis | 3 |  | Selected |  |
| Navicula explanata | 13 | Selected | Selected |  |
| Navicula gerloffii | 5 |  |  |  |
| Navicula ignota var. palustris | 5 | Selected | Selected | Selected |
| Navicula laevissima var. perhibita | 3 | Selected |  | Selected |
| Navicula libonensis | 3 |  | Selected |  |
| Navicula medioconvexa | 6 | Selected |  | Selected |
| Navicula menisculus fo. AK 1 | 3 |  |  | Selected |
| Navicula pseudolanceolata | 5 |  |  |  |
| Navicula similis | 5 |  |  |  |
| Navicula soehrensis var. hassiaca | 9 |  |  |  |
| Navicula soehrensis | 6 | Selected | Selected |  |
| Navicula subtilissima | 4 | Selected |  | Selected |
| Navicula salinarum | 4 |  |  |  |
| Navicula tuscula | 4 |  |  |  |
| Navicula veneta | 5 | Selected |  |  |
| Pinnularia balfouriana | 10 |  |  |  |
| Pinnularia maior | 7 |  |  |  |
| Pinnularia nodosa | 8 |  |  | Selected |
| Pinnularia viridis | 9 |  | Selected |  |
| Cymbella cistula | 9 |  |  | Selected |
| Cymbella cymbiformis | 6 |  |  | Selected |
| Cymbella falaisensis | 5 | Selected | Selected |  |
| Cymbella hustedtii | 3 |  |  | Selected |
| Cymbella incerta var. crassipunctata | 5 |  |  | Selected |
| Cymbella lapponica fo. short | 6 |  |  | Selected |
| Cymbella minuta | 13 | Selected |  |  |
| Gomphonema acuminatum | 8 | Selected |  | Selected |
| Gomphonema lateripunctatum | 3 | Selected |  | Selected |
| Gomphonema olivaceum | 4 |  |  |  |

Table A1 (concluded).

| Species | Occurrence | TEMP | DEPTH | DOC |
| :--- | :---: | :--- | :---: | :---: |
| Nitzschia alpina | 9 | Selected |  | Selected |
| Nitzschia rectiformis | 8 |  |  |  |
| Nitzschia solita | 3 | Selected | Selected |  |
| Nitzschia supralitorea | 3 |  |  |  |
| Epithemia sorex | 5 |  | Selected |  |
| cf. Navicula trivialis | 4 |  | Selected |  |


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